WO 2004/111093 PCT/US2004/018285

CLAIMS

What is claimed is:

1. A method for preparing a functionalized polymer, the method comprising:

contacting an anionically-polymerized living polymer with an
isocyanato alkoxysilane or isothiocyanato alkoxysilane.

2. A vulcanizate prepared by:

vulcanizing a rubber formulation comprising at least one vulcanizable rubber and a filler, where the at least one vulcanizable rubber is a functionalized polymer that is formed by contacting an anionically-polymerized living polymer with an isocyanato alkoxysilane or isothiocyanato alkoxysilane.

3. A functionalized polymer that is defined by the formula

15

5

10

where $\sim \sim$ is an anionically-polymerized polymer, A is oxygen or sulfur, R^1 is a divalent organic group, each R^2 and R^3 is a monovalent organic group, and m is an integer from 0 to 2.

20

4. The method of claim 1, vulcanizate of claim 2, or functionalized polymer of claim 3, where the anionically-polymerized polymer is a prepared from at least one monomer comprising 1,3-butadiene, isoprene, 1,3-pentadiene, 2,3-dimethyl-1,3-butadiene, 1,3-hexadiene, myrcene, styrene, α -methyl styrene, p-methylstyrene, and vinylnaphthalene.

25

5. The method of claim 1, vulcanizate of claim 2, or functionalized polymer of claim 3, where the anionically-polymerized polymer is a copolymer of styrene and 1,3-butadiene.

6. The method of claim 1, vulcanizate of claim 2, or functionalized polymer of claim 3, where the anionically-polymerized polymer is formed by using an initiator comprising at least one element from Group 1 or Group 2 of the Periodic Table.

- 7. The method of claim 1, vulcanizate of claim 2, or functionalized polymer of claim 3, where the anionically-polymerized polymer is formed by using an initiator, and where the anionically-polymerized polymer is contacted with from about 0.3 to about 1 equivalent of terminating agent per equivalent of initiator.
- 8. The method of claim 1, or vulcanizate of claim 2, where the isocyanato alkoxysilane compound or isothiocyanato alkoxysilane compound comprises gamma-isocyanatopropyl-triethoxysilane, gamma-isothiocyanatopropyl-trimethoxysilane, and gamma-isothiocyanatopropyl-trimethoxysilane.

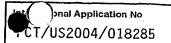
9. The method of claim 1, or vulcanizate of claim 2, where the isocyanato alkoxysilane comprises gamma-isocyanatopropyl-trimethoxysilane.

15

10. The vulcanizate of claim 2, where the filler comprises carbon black, silica, or 20 a mixture thereof.

BLANK PAGE

INTERNATIONAL SEARCH REPORT



A. CLASS	SIFICATION OF SUBJECT MATTER			
IPC 7	C08C19/44 C08L19/00			
	to International Patent Classification (IPC) or to both national classi	fication and IPC		
	SEARCHED	<u>. </u>		
IPC /	ocumentation searched (classification system followed by classific C08C C08L	,		
	tion searched other than minimum documentation to the extent tha			
Electronic o	lata base consulted during the international search (name of data I	base and, where practical, search terms used	i)	
EPO-In	ternal, WPI Data, PAJ			
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the r	elevant passages	Relevant to claim No.	
X	WO 01/34658 A (MORITA KOICHI ; F TATSUO (JP); HATTORI IWAKAZU (JP CORP () 17 May 2001 (2001-05-17))): JSR	2-5,8-10	
Υ .	() 1) (My 2001 (2001 03 17)	'	1,6,7	
Y	page 4, line 6 - line 30 page 7, line 24 - line 32 page 14, line 3 - line 23 example 37; table 6 claims 1-3,8 EP 0 801 078 A (BRIDGESTONE CORP 15 October 1997 (1997-10-15)		1,6,7	
	page 3, line 50 - page 4, line 3 examples 1,2	-		
Furth	er documents are listed in the continuation of box C.	γ Patent family members are listed in	annex.	
 Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance E* earlier document but published on or after the international filing date 		*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention		
"L" document which is citation document other m	It which may throw doubts on priority claim(s) or scited to establish the publication date of another or other special reason (as specified) nt referring to an oral disclosure, use, exhibition or eans at published prior to the international filing date but	cannot be considered novel or cannot be involve an inventive step when the doct "Y" document of particular relevance; the clacannot be considered to involve an inventive and coument is combined with one or more ments, such combination being obvious in the art.	pe considered to unent is taken alone alimed invention entire step when the second of the such documents and the such documents.	
later than the priority date claimed		*&" document member of the same patent family		
Date of the actual completion of the international search 30 September 2004		Date of mailing of the international search report		
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2		Authorized officer		
NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016		Denis, C		

Form PCT/ISA/210 (second sheet) (January 2004)

INTERNATIONAL SEARCH REPORT

Srmation on patent family members

ernational Application No

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
WO 0134658	Α	17-05-2001	CA EP JP WO	2424815 A1 1230273 A1 2003514078 T 0134658 A1	17-05-2001 14-08-2002 15-04-2003 17-05-2001
EP 0801078	A	15-10-1997	US CA DE DE EP ES JP	5659056 A 2201674 A1 69710629 D1 69710629 T2 0801078 A1 2171771 T3 10036436 A	19-08-1997 10-10-1997 04-04-2002 12-09-2002 15-10-1997 16-09-2002 10-02-1998